



Binary symmetric channel



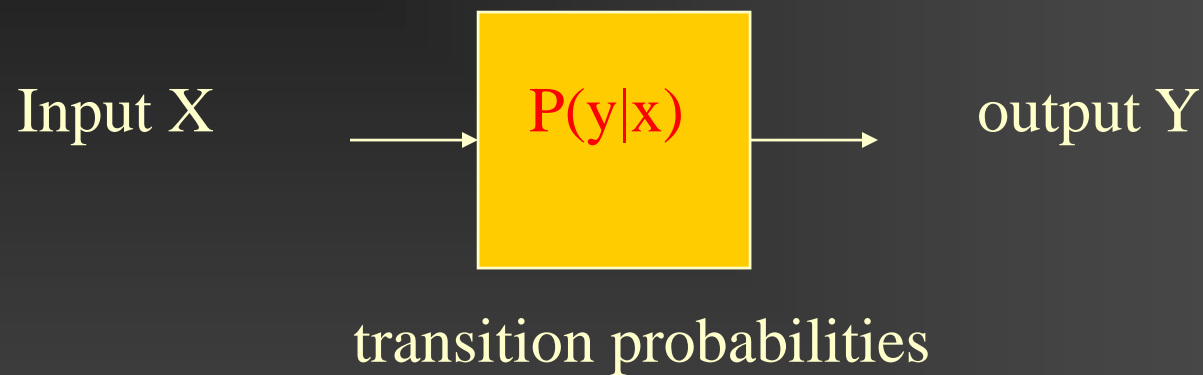
content

- Introduction
 - Entropy and some related properties
 - Source coding
 - Channel coding
 - Multi-user models
 - Constraint sequence
 - Applications to cryptography
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This lecture

- Some models
 - Channel capacity
 - converse
-

some channel models

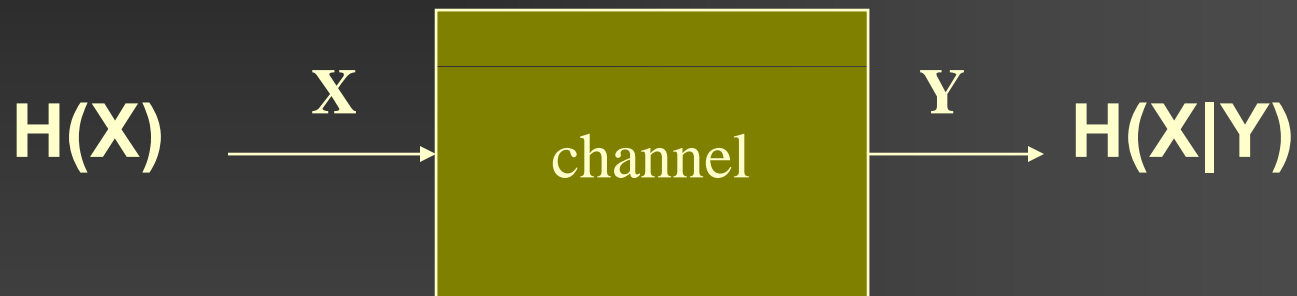


memoryless:

- output at time i depends only on input at time i
- input and output alphabet finite

channel capacity:

$$I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X) \text{ (Shannon 1948)}$$

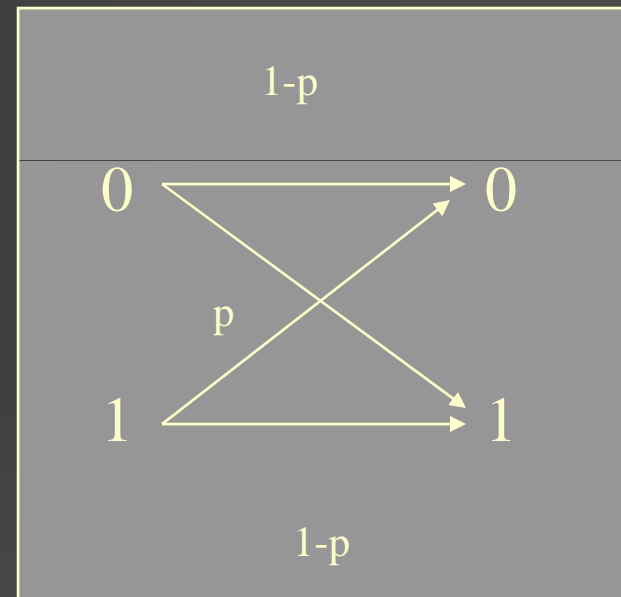
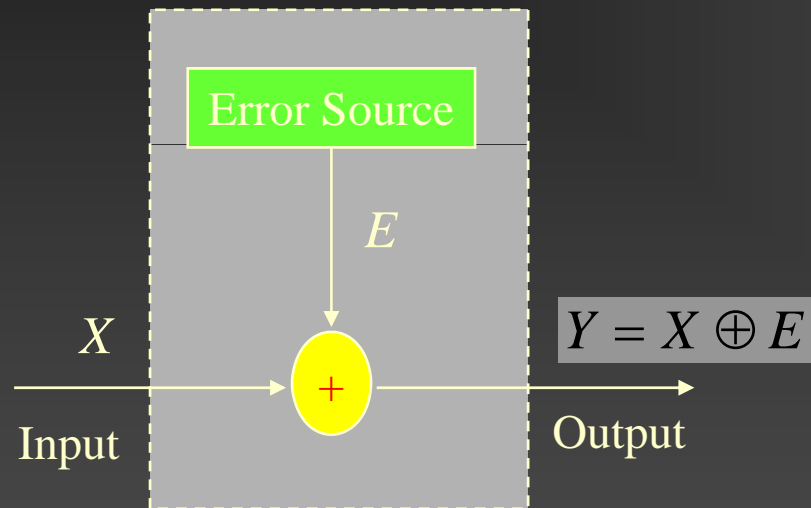


$$\max_{P(x)} I(X; Y) = \text{capacity}$$

notes:

capacity depends on input probabilities
because the transition probabilities are fixed

channel model: binary symmetric channel



E is the **binary error sequence** s.t. $P(1) = 1-P(0) = p$

X is the **binary information sequence**

Y is the **binary output sequence**

burst error model

Random error channel; outputs independent

Error Source \longrightarrow $P(0) = 1 - P(1)$;

Burst error channel; outputs dependent

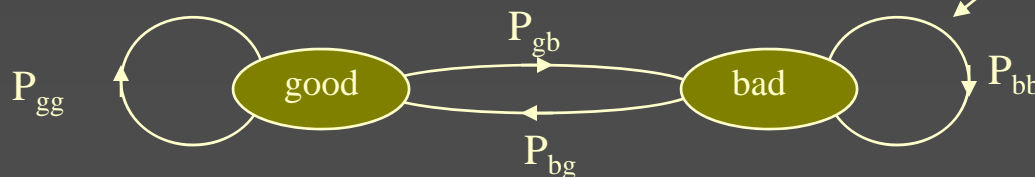
Error Source \longrightarrow

$$P(0 \mid \text{state} = \text{bad}) = P(1 \mid \text{state} = \text{bad}) = 1/2;$$

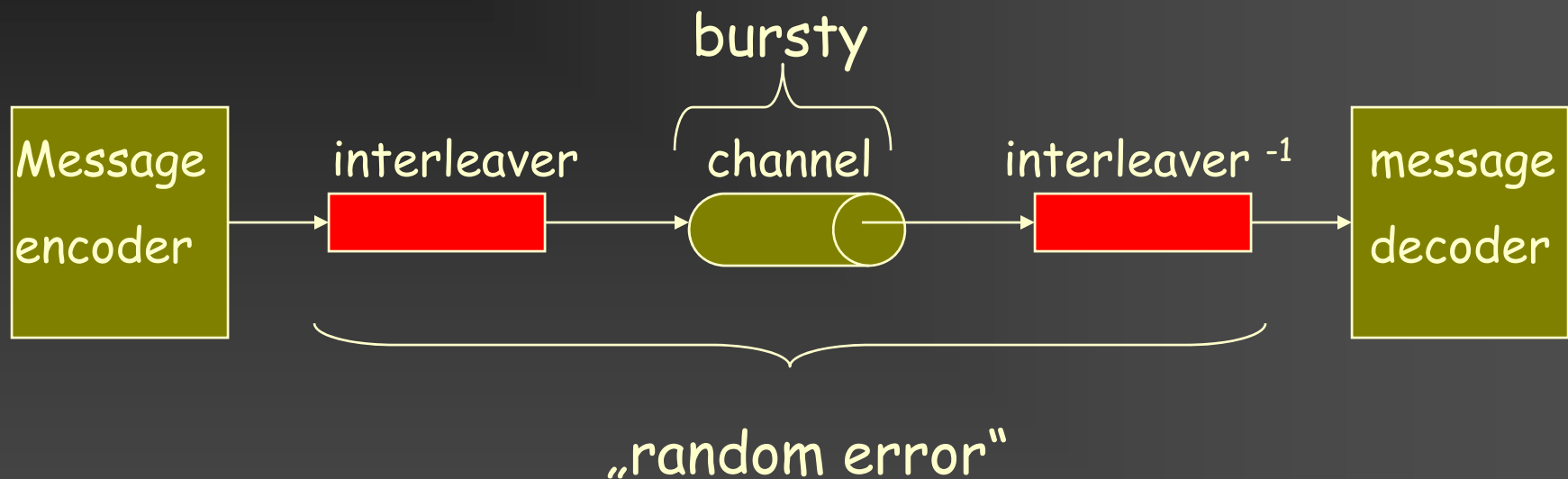
$$P(0 \mid \text{state} = \text{good}) = 1 - P(1 \mid \text{state} = \text{good}) = 0.999$$

State info: good or bad

transition probability



Interleaving:



Note: interleaving brings encoding and decoding delay

Homework: compare the block and convolutional interleaving w.r.t. delay

Interleaving: block

Channel models are difficult to derive:

- burst definition ?
- random and burst errors ?

for practical reasons: convert burst into random error

read in row wise 

1	0	1		0		1
0	1	0		0		0
0	0	0		1		0
1	0	0		1		1
1	1	0		0		1

transmit
column wise 

De-Interleaving: block

read in column
wise

this row contains 1 error

1	0	1		e		1
0	1	e		e		0
0	0	e		1		0
1	0	e		1		1
1	1	e		0		1

read out
row wise

Interleaving: convolutional

input sequence 0

input sequence 1

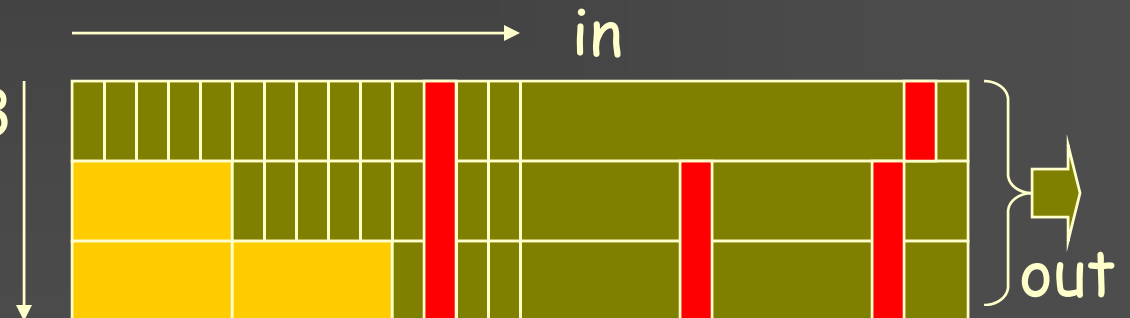
...

input sequence $m-1$

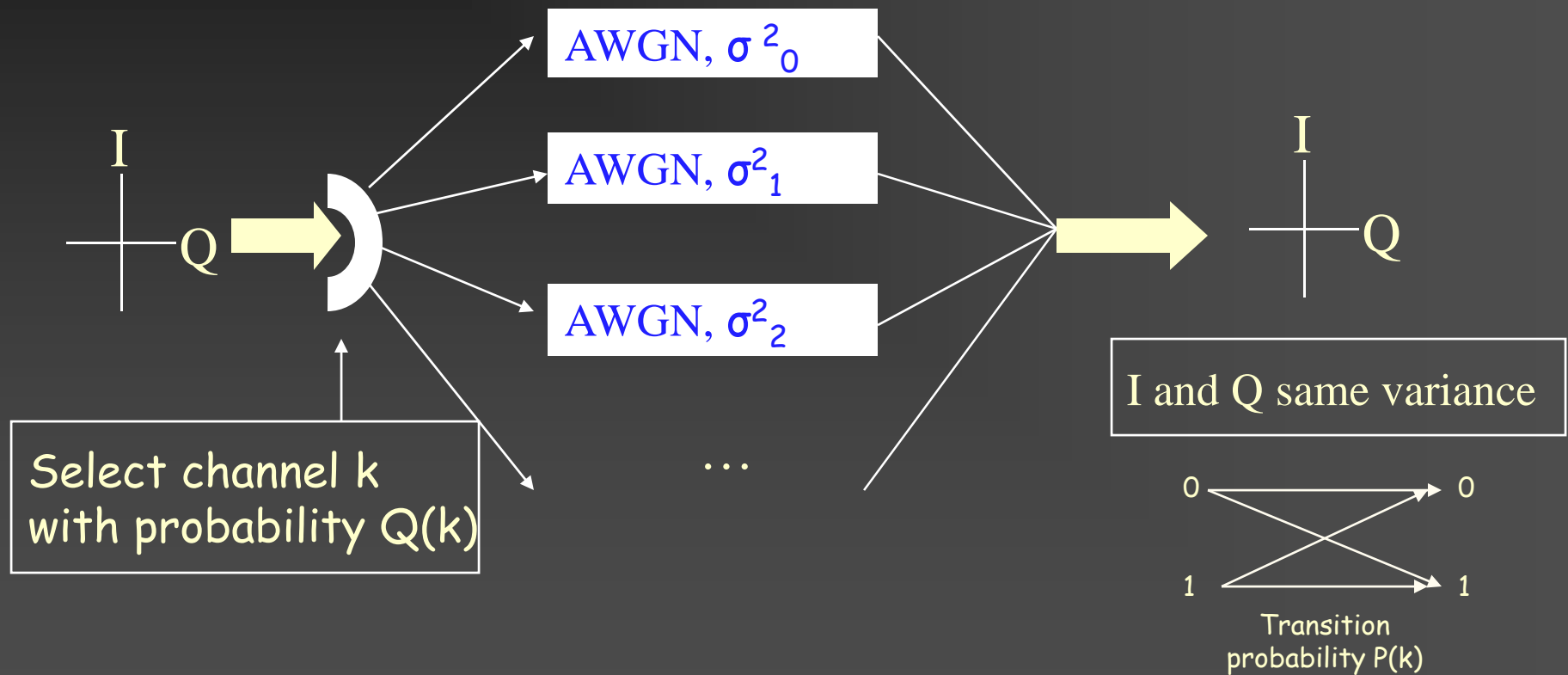
delay of b elements

delay of $(m-1)b$ elements

Example: $b = 5, m = 3$



Class A Middleton channel model



Example: Middleton's class A

$$\Pr\{\sigma = \sigma(k)\} = Q(k), \quad k = 0, 1, \dots$$

$$\sigma(k) := \left(\frac{k\sigma_I^2 / A + \sigma_G^2}{\sigma_I^2 + \sigma_G^2} \right)^{1/2}$$

$$Q(k) := \frac{e^{-A} A^k}{k!}$$

A is the impulsive index

σ_I^2 and σ_G^2 are the impulsive and Gaussian noise power

Example of parameters

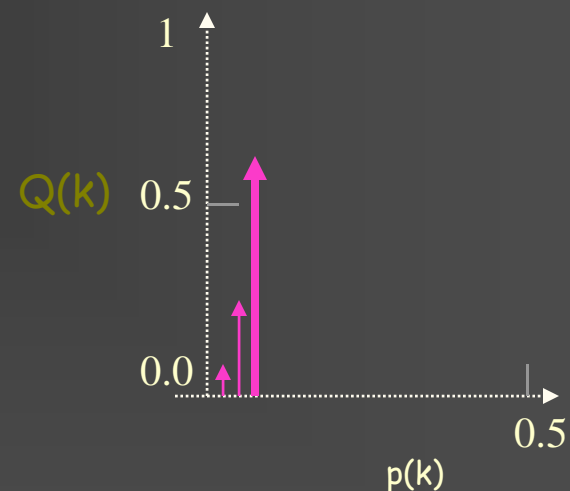
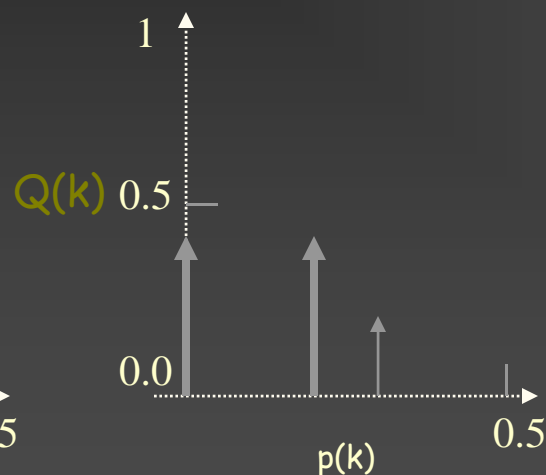
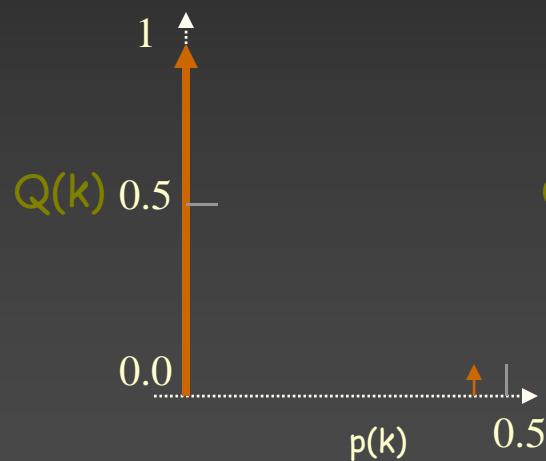
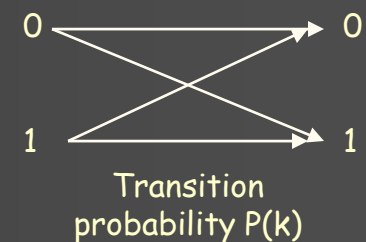
- Middleton's class $A = 1$; $E = \sigma = 1$; $\sigma_I / \sigma_G = 10^{-1.5}$

k	Q(k)	p(k) (= transition probability)
0	0.36	0.00
1	0.37	0.16
2	0.19	0.24
3	0.06	0.28
4	0.02	0.31

Average $p = 0.124$; Capacity (BSC) = 0.457

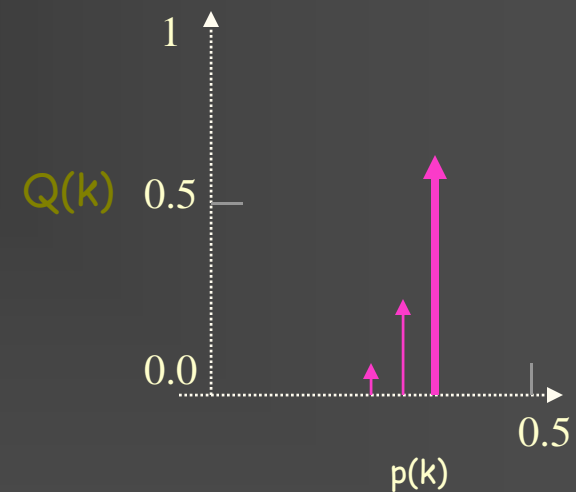
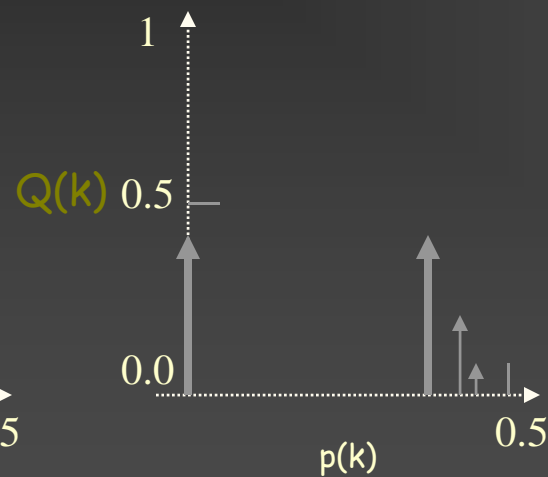
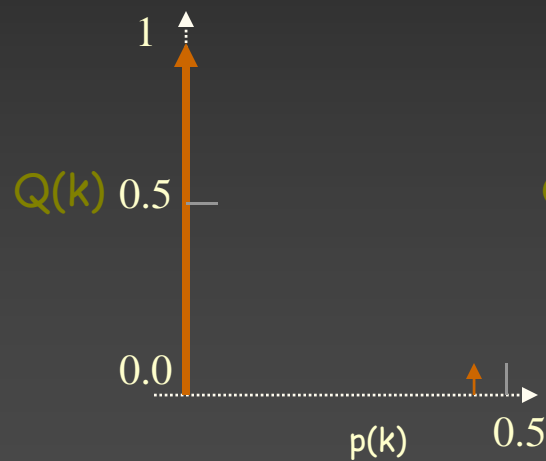
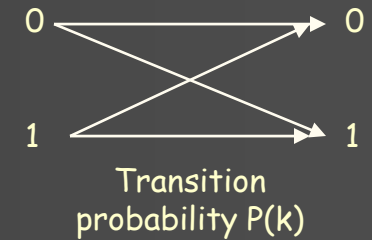
Example of parameters

Middleton's class A: $E = 1$; $\sigma = 1$; $\sigma_I / \sigma_G = 10^{-3}$

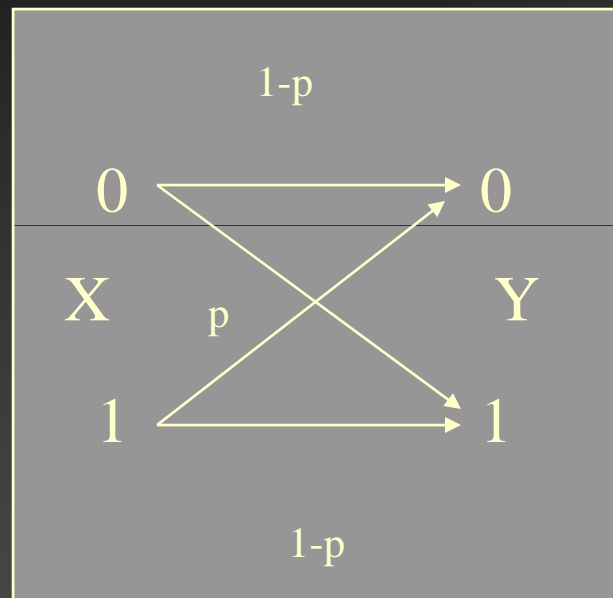


Example of parameters

Middleton's class A: $E = 0.01$; $\sigma = 1$; $\sigma_I / \sigma_G = 10^{-3}$



channel capacity: the BSC



$$I(X;Y) = H(Y) - H(Y|X)$$

the maximum of $H(Y) = 1$

since Y is binary

$$H(Y|X) = h(p)$$

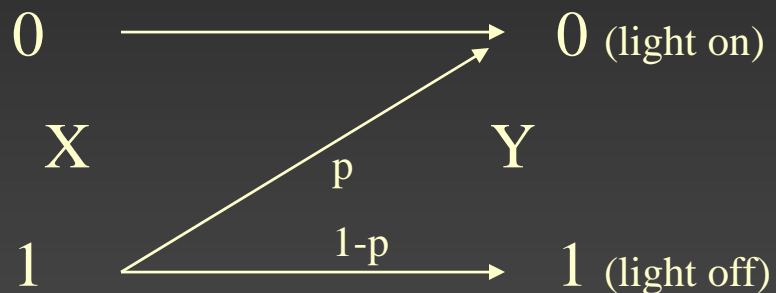
$$= P(X=0)h(p) + P(X=1)h(p)$$

Conclusion: the capacity for the BSC $C_{BSC} = 1 - h(p)$

Homework: draw C_{BSC} , what happens for $p > \frac{1}{2}$

channel capacity: the Z-channel

Application in optical communications



$$P(X=0) = P_0$$

$$H(Y) = h(P_0 + p(1 - P_0))$$

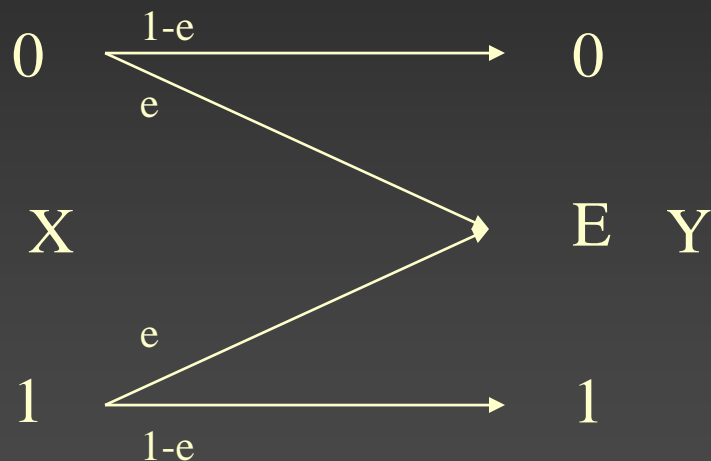
$$H(Y|X) = (1 - P_0) h(p)$$

For capacity,

maximize $I(X;Y)$ over P_0

channel capacity: the erasure channel

Application: cdma detection



$$P(X=0) = P_0$$

$$I(X;Y) = H(X) - H(X|Y)$$

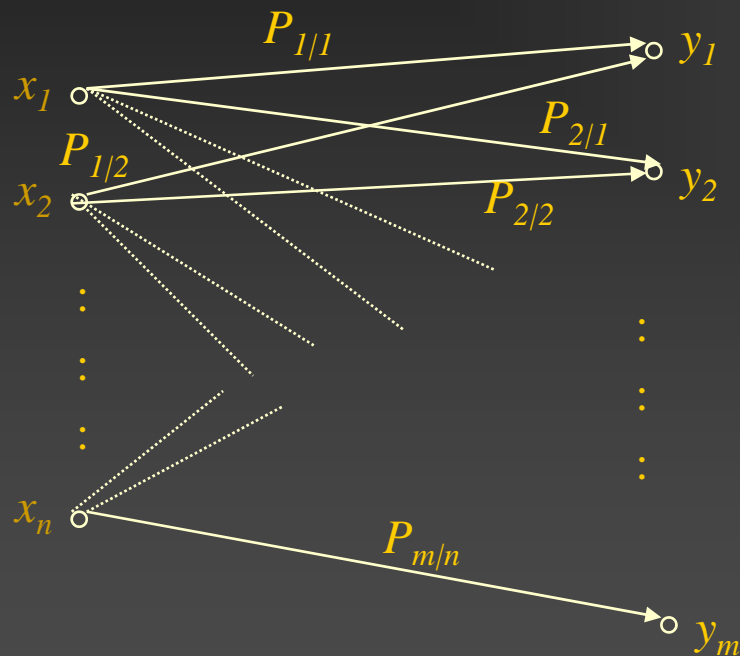
$$H(X) = h(P_0)$$

$$H(X|Y) = e h(P_0)$$

$$\text{Thus } C_{\text{erasure}} = 1 - e$$

(check!, draw and compare with BSC and Z)

channel models: general diagram



Input alphabet $X = \{x_1, x_2, \dots, x_n\}$

Output alphabet $Y = \{y_1, y_2, \dots, y_m\}$

$$P_{j|i} = P_{Y|X}(y_j|x_i)$$

In general:

calculating capacity needs more theory

clue:

$I(X;Y)$

is convex \cap in the input probabilities

i.e. finding a maximum is simple

Channel capacity

Definition:

The rate R of a code is the ratio $\frac{k}{n}$, where

k is the number of information bits transmitted
in n channel uses

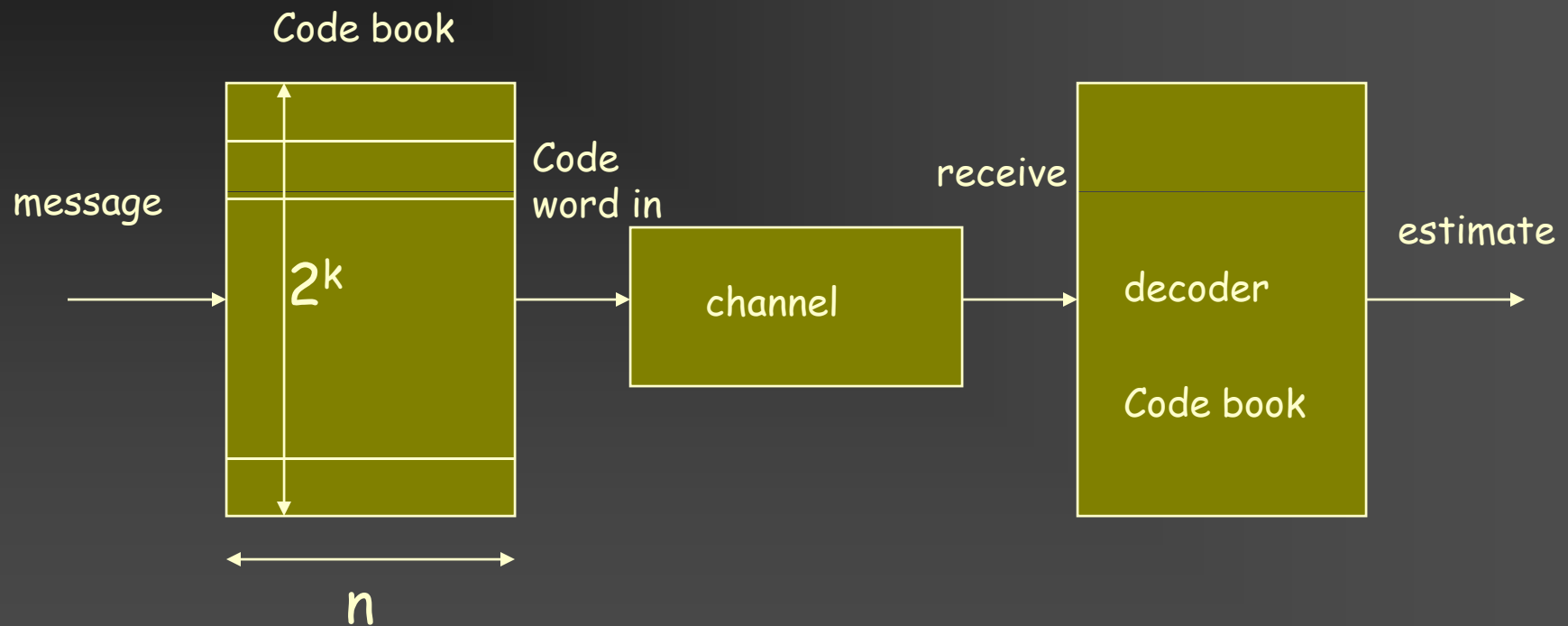
Shannon showed that: :

for $R \leq C$

encoding methods exist

with decoding error probability $\rightarrow 0$

System design



There are 2^k code words of length n

Channel capacity: sketch of proof for the BSC

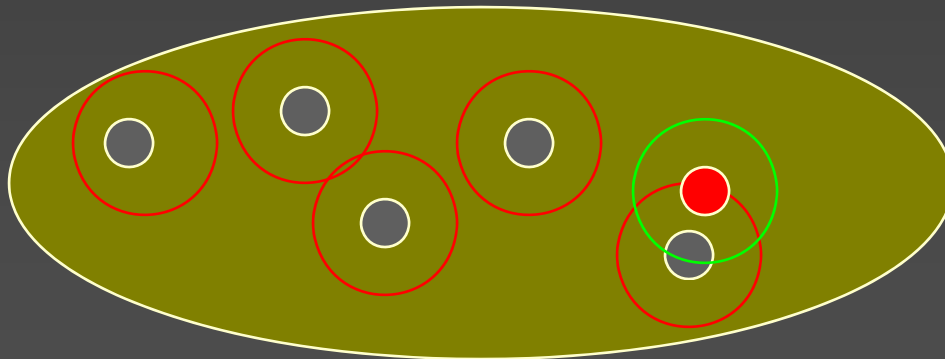
Code: 2^k binary codewords where $p(0) = P(1) = \frac{1}{2}$ ○

Channel errors: $P(0 \rightarrow 1) = P(1 \rightarrow 0) = p$

i.e. # error sequences $\approx 2^{nh(p)}$ ○

Decoder: search around received sequence for codeword

with $\approx np$ differences ●



space of 2^n binary sequences

Channel capacity: decoding error probability

1. For t errors: $|t/n-p| > \epsilon$

$\rightarrow 0$ for $n \rightarrow \infty$

(law of large numbers)

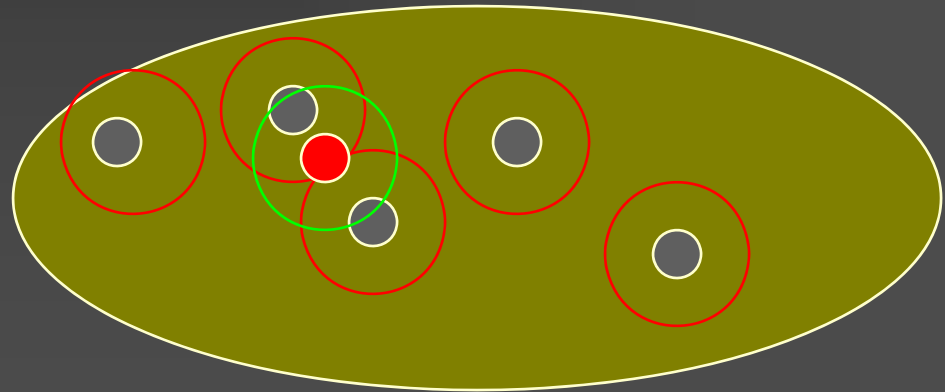
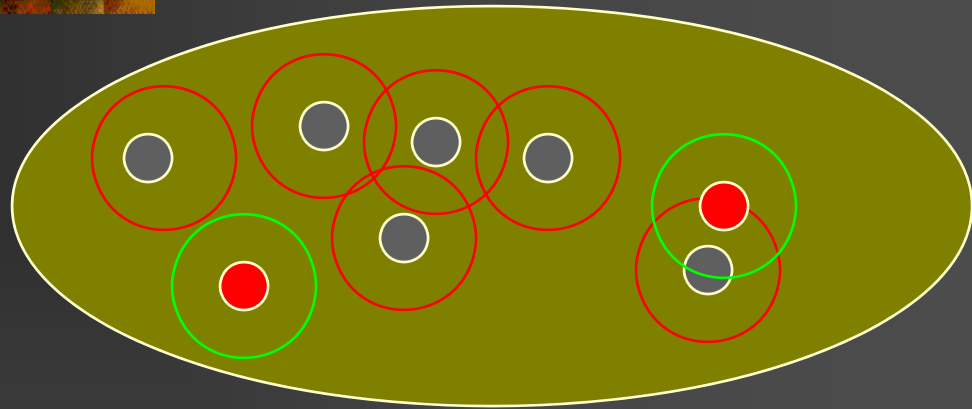
2. > 1 code word in region

(codewords random)

$$P(> 1) \approx (2^k - 1) \frac{2^{nh(p)}}{2^n} \rightarrow 0$$

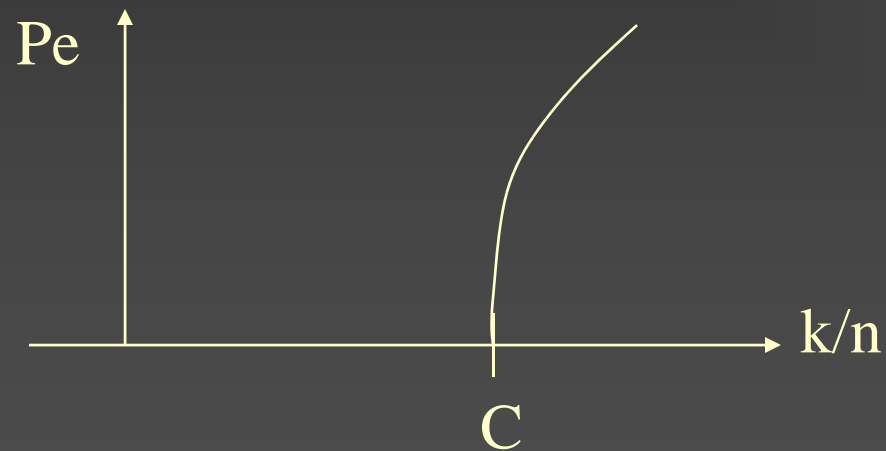
for $R = \frac{k}{n} < 1 - h(p)$

and $n \rightarrow \infty$

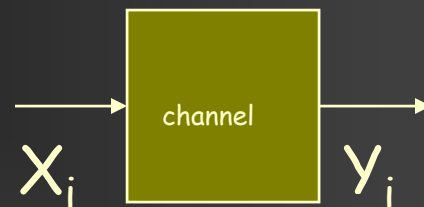


Channel capacity: converse

For $R > C$ the decoding error probability > 0

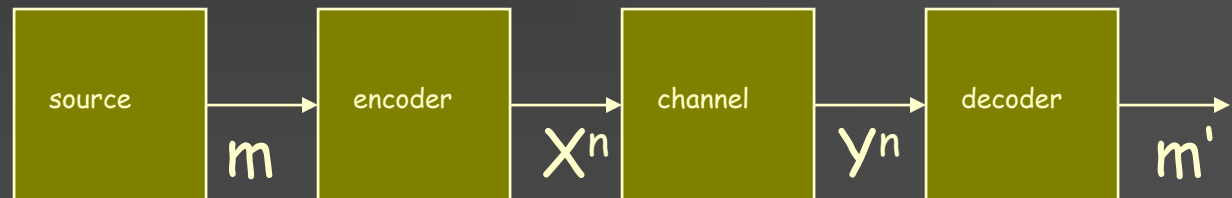


Converse: For a discrete memory less channel



$$I(X^n; Y^n) = H(Y^n) - \sum_{i=1}^n H(Y_i | X_i) \leq \sum_{i=1}^n H(Y_i) - \sum_{i=1}^n H(Y_i | X_i) = \sum_{i=1}^n I(X_i; Y_i) \leq nC$$

Source generates one out of 2^k equiprobable messages



Let P_e = probability that $m' \neq m$

converse $R := k/n$

$$\begin{aligned} k = H(M) &= I(M; Y^n) + H(M|Y^n) \\ &\leq \underbrace{I(X^n; Y^n)}_{X^n \text{ is a function of } M} + \underbrace{1 + k P_e}_{\text{Fano}} \\ &\leq nC + 1 + k P_e \end{aligned} \quad \left. \vphantom{\begin{aligned} k = H(M) &= I(M; Y^n) + H(M|Y^n) \\ &\leq I(X^n; Y^n) + 1 + k P_e \\ &\leq nC + 1 + k P_e \end{aligned}} \right\} 1 - Cn/k - 1/k \leq P_e$$

$$P_e \geq 1 - C/R - 1/k$$

Hence: for large k , and $R > C$,
the probability of error $P_e > 0$

Appendix:

Assume:

binary sequence $P(0) = 1 - P(1) = 1-p$

t is the # of 1's in the sequence

Then $n \rightarrow \infty$, $\varepsilon > 0$

Weak law of large numbers

Probability ($|t/n - p| > \varepsilon$) $\rightarrow 0$

i.e. we expect with high probability pn 1's

Appendix:

Consequence:

1. $n(p - \varepsilon) < t < n(p + \varepsilon)$ with high probability

2. $\log_2 \sum_{n(p-\varepsilon)}^{n(p+\varepsilon)} \binom{n}{t} \approx \log_2 (2n\varepsilon \binom{n}{pn}) \approx \log_2 2n\varepsilon + \log_2 2^{nh(p)}$

3. $\frac{1}{n} \log_2 2n\varepsilon + \frac{1}{n} \log_2 2^{nh(p)} \rightarrow h(p)$

4. A sequence in this set has probability $\approx 2^{-nh(p)}$